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Pt-Catalyzed Cyclization/1,2-Migration for the Synthesis of Indolizines, Pyrrolones, and Indolizinones

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ABSTRACT

Indolizine, pyrrolone, and indolizinone heterocycles are easily accessed via the Pt(II)-catalyzed cycloisomerization or a tandem cyclization/1,2-migration of pyridine propargylic alcohols and derivatives. This method provides an efficient synthesis of highly functionalized heterocycles from readily available substrates.

The development of efficient and versatile strategies for the synthesis of heterocycles continues to be of major significance in synthetic organic chemistry. In this regard, transformations that employ readily available substrates to provide access to multiply functionalized heterocycles are highly desirable. In the last two decades, the pharmacological potential of indolizines and related derivatives has become well recognized. As a result, a variety of methods for their syntheses have emerged. However, there still remains a significant need for more direct methods to afford functionalized indolizine derivatives.

Previously, we reported the Pt-catalyzed cyclization of an acetate nucleophile (see 1, Scheme 1) onto an activated alkyne to achieve the formation of pentannulated products

 $\begin{tabular}{ll} Scheme 1. & Pt(II)-Catalyzed \ Pentannulation \\ \end{tabular}$

(e.g., 3), via the intermediacy of a zwitterion (2).^{4,5} On the basis of this precedent, we reasoned that substrates such as 4 (Scheme 2), which possess a nitrogen nucleophile, could provide a platform for metal-catalyzed cycloisomerizations to access a range of nitrogen-containing heterocycles (e.g., 6).

Optimization studies of this transformation began with propargylic ester **7a** (Table 1), which was easily prepared

⁽¹⁾ For a recent general review, see: Joule, J. A.; Mills, K. In *Heterocyclic Chemistry*, 4th ed.; Blackwell Science Ltd.: Cambridge, MA, 2000.

⁽²⁾ Michael, J. P. Nat. Prod. Rep. 1999, 16, 675.

⁽³⁾ For recent examples, see: (a) Kaloko, J., Jr.; Hayford, A. *Org. Lett.* **2005**, *7*, 4305. (b) Kel'in, A. V.; Sromek, A. W.; Gevorgyan, V. *J. Am. Chem. Soc.* **2001**, *123*, 2074. (c) Seregin, I. V.; Gevorgyan, V. *J. Am. Chem. Soc.* **2006**, *128*, 12050. (d) Marchalin, S.; Baumlová, B.; Baran, P.; Oulyadi, H.; Daïch, A. *J. Org. Chem.* **2006**, *71*, 9114.

⁽⁴⁾ Bhanu Prasad, B. A.; Yoshimoto, F. K.; Sarpong, R. J. Am. Chem. Soc. 2005, 127, 12468.

⁽⁵⁾ Metallocarbenoid intermediates, generated from 2, are believed to be important in these transformations. See: (a) Mamane, V.; Gress, T.; Krause, H.; Fürstner, A. J. Am. Chem. Soc. 2004, 126, 8654. (b) Miki, K.; Ohe, K.; Uemura, S. J. Org. Chem. 2003, 68, 8505. (c) Harrak, Y.; Biaszykowski, C.; Benard, M.; Cariou, K.; Manetti, E.; Mouriés, V.; Dhimane, A.-L.; Fensterbank, L.; Malacria, M. J. Am. Chem. Soc. 2004, 126, 8556.

Scheme 2. Proposed Heterocycloisomerization

from pyridine-2-carboxaldehyde in two steps.⁶ Initial attempts identified PtCl₄ (entry 1) and PtCl₂ (entry 2) to be suitable catalysts that provide moderate yields of the desired C-1 substituted indolizine **8a** at 70 °C.⁷

Table 1. Cycloisomerization of Terminal Alkyne Propargylic Ester Substrates

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	Conditions	
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7a (R = H)		8a ($R = H$)
7b (B = Bu)		$\mathbf{8h} (\mathbf{R} = \mathbf{Ru})$

entry	substrate	catalyst	additive	temp(°C)	time (h)	yield of 8 (%)
1	7a	PtCl₄	_	70	18	34
2	7a	PtCl ₂	_	70	20	31
3	7a	PtCl ₂	PR ₂ R = t-Bu (9)	70	20	43
4	7a	PtCl ₂	R = Cy (10)	70	8	79
5	7b	PtCl ₂	-	70	10	81
6	7b	PtCl ₂	9	70	3	95
7	7b	PtCl ₂	10	70	9	95
8	7b	PtCl ₂	-	40	36	no reaction
9	7b	PtCl ₂	9	40	12	95
10	7b	PtCl ₂	10	40	36	33
11	7b	InCl ₃	-	40	4	85

 a Reaction conditions: 5 mol % of catalyst, 10 mol % of additive, 0.20 M in benzene.

Furthermore, after a screen of various additives, we were delighted to find that the addition of 10 mol % of the bulky, electron-rich phosphine ligands 2-(di-*tert*-butylphosphino)-biphenyl⁸ (9, entry 3) or 2-(dicyclohexylphosphino)biphenyl (10, entry 4) to the reaction mixture with PtCl₂ as catalyst led to a significant increase in the yield of the indolizine product 8a, with 10 proving to be superior (79% yield). The utility of phosphine ligands in facilitating Pt(II)-catalyzed reactions involving nitrogen nucleophiles is consistent with recent observations made by Widenhoefer during studies of the hydroamination of olefins.⁹ Importantly, for the hydroamination reactions reported by Widenhoefer, a 1:1 ratio of Pt(II) salt to exogenous phosphine (Pt/PR₃) was critical to success.¹⁰ We reasoned that the use of bulky phosphines

would dictate the formation of this critical 1:1 Pt/PR₃ complex, which led to the choice of **9** and **10** as additives.

Significant differences in reaction efficiency were also observed upon exposure of internal alkyne substrates (e.g., **7b**) to various Pt(II)-catalyzed cycloisomerization conditions as outlined in entries 5–11. Consistent with our initial observations (entries 1–4), bulky phosphine additives provided conditions that produced higher yields of the desired indolizine product (i.e., **8b**, entries 6 and 7) as compared to PtCl₂ alone (entry 5).

The effect of phosphines **9** and **10** on reaction efficiency was more pronounced at 40 °C. At this temperature, there was no reaction with PtCl₂ alone as the catalyst (entry 8), whereas with **9** and **10** as additives (entries 9 and 10, respectively), product formation was observed, with **9** proving to be optimal. Interestingly, indium trichloride also catalyzes the transformation of **7b** to **8b** (entry 11) albeit in lower overall yields. However, this catalyst was found to be ineffective in the transformation of substrates possessing terminal alkynes (e.g., **7a**).

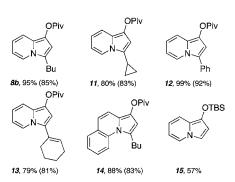


Figure 1. Pt(II)- and In(III)-catalyzed cycloisomerizations. Yields are indicated for reactions using PtCl₂ and InCl₃ (in parentheses). For a full description of reaction details, including the identity of propargylic ester substrates, see Supporting Information.

As shown in Figure 1, a range of indolizine products are easily obtained utilizing the optimized reaction conditions with either Pt(II) (5 mol % of PtCl₂, 10 mol % of 2-(di-*tert*-butylphosphino)biphenyl (9), 0.2 M in PhH, 70 °C) or In(III) (5 mol % of InCl₃, 0.2 M in PhH, 70 °C). The pivalate protective group was found to be ideal (see 11–14), and a range of alkyl-, cycloalkyl-, aryl-, and alkenyl-substituted indolizines are readily obtained in modest to good yields. Of note, silyl protective groups may be employed as evidenced by the formation of silylated indolizine 15 in 57% yield.¹¹

On the basis of these initial studies, we hypothesized that tertiary propargylic alcohol substrates such as **16** (Scheme 3) could provide a platform for metal-catalyzed cycloisomerizations that involve a 1,2-shift.¹²

This would provide access to a range of highly substituted heterocycles. In a preliminary study, pyrrolone 19 was

(10) Wang, X.; Widenhoefer, R. A. Organometallics 2004, 23, 1649.

1170 Org. Lett., Vol. 9, No. 6, 2007

⁽⁶⁾ For details, see Supporting Information.

⁽⁷⁾ Heating 7a without added catalyst at 120 °C over 48 h yielded minor amounts of 8a (ca. 15% yield) along with significant byproducts. This points to a slow and inefficient background reaction.

^{(8) (}a) Tomori, H.; Fox, J. M.; Buchwald, S. L. J. Org. Chem. **2000**, 65, 5334. (b) Fox, J. M.; Huang, X.; Chieffi, A.; Buchwald, S. L. J. Am. Chem. Soc. **2000**, 122, 1360.

^{(9) (}a) Bender, C. F.; Widenhoefer, R. A. J. Am. Chem. Soc. **2005**, 127, 1070. (b) Qian, H.; Widenhoefer, R. A. Org. Lett. **2005**, 7, 2635.

Scheme 3. Tandem Cyclization/1,2-Migration of 16

formed in 71% yield upon treatment of hydrazone **16** with PtCl₂ (10 mol %) for 24 h at 100 °C. Presumably, this conversion proceeds via initial formation of **17**, which yields **18** upon proton transfer. An ensuing 1,2-shift of the ethyl group affords **19**.¹³

Despite our initial success in transforming 16 to pyrrolone 19, our general conditions proved to be ineffective at low catalyst loadings for substrates that contain a pyridine fragment. A screen of various additives, solvents, and temperatures identified a set of optimized conditions (5 mol % of PtCl₂, 10 mol % of 2-(di-tert-butylphosphino)biphenyl, 0.1 equiv of Cs₂CO₃, 100 °C), which was readily applicable to several tertiary propargylic alcohol substrates (20a-e, Table 2) to provide the corresponding indolizinones (21ae) in modest to good yields. The addition of substoichiometric quantities of a base (Cs2CO3), which may facilitate proton-transfer events prior to the 1,2-migration event, was found to be critical.¹⁴ Importantly, preliminary results indicate that the 1,2-migrations occur with high stereoselectivity as evidenced by the efficient transfer of chirality in the formation of enantioenriched indolizinone 21a (97% ee, eq 1) from **20a** (99.9% ee). 15,16

To the best of our knowledge, the work reported herein represents the first examples of this mechanistically distinct,

Table 2. Pt-Catalyzed Formation of Indolizinones

PtCl₂ (5 mol %)

metal-catalyzed cycloisomerization that allows access to indolizines and indolizinones and for the first time highlights the significant effect of bulky electron-rich phosphines on these cycloisomerization transformations. Further studies to probe the mechanisms of these transformations, broaden the scope to include other examples of chirality transfer, and identify conditions to shorten the reaction times are underway. Additionally, applications of these heterocycles in natural product synthesis are currently ongoing and will be reported in due course.

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Supporting Information Available: Experimental details and characterization data for all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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Org. Lett., Vol. 9, No. 6, 2007

⁽¹¹⁾ Previously, PtCl₂ was reported to be an ineffective catalyst for the cycloisomerization that results in 15. See ref 2c.

⁽¹²⁾ For an example of α-hydroxyiminium 1,2-migration, see: Fenster, M. D. B.; Patrick, B. O.; Dake, G. R. *Org. Lett.* **2001**, *3*, 2109.

⁽¹³⁾ For examples using cyclic α-ketols, see: Kirsch, S. F.; Binder, J. T.; Liébert, C.; Menz, H. *Angew. Chem., Int. Ed.* **2006**, *45*, 5878.

⁽¹⁴⁾ The formation of a cesium alkoxide prior to 1,2-migration may also be important.

^a Cs₂CO₃ was not used as an additive.

⁽¹⁵⁾ Enantioenriched **20a** was obtained via preparative chiral column chromatography of the racemate.⁶

⁽¹⁶⁾ Efforts to delineate whether this transformation is stereospecific and whether the loss of ee in forming enantioenriched **21a** occurs via a competing process are currently ongoing.